

Supplementary Appendix

Supplement to: Gammie JS, Chu MWA, Falk V, et al. Concomitant tricuspid repair in patients with degenerative mitral regurgitation. *N Engl J Med*. DOI: 10.1056/NEJMoa2115961

This appendix has been provided by the authors to give readers additional information about the work.

Table of Contents

CTSN Trial Investigators	3
Grading of Tricuspid Regurgitation Severity.....	7
Methods for Measuring Tricuspid Annular Dilatation.....	8
Specifications for the Tricuspid Valve Repair	9
Imputation of Missing Primary Endpoint Data.....	10
Missing Secondary Endpoint Data at 2 Years	11
Sample Size Estimates	14
Figure S1. CONSORT Diagram	15
Figure S2. MACCE-free Survival by Randomization Group	16
Figure S3. Index Length of Stay after Surgery by Region.....	17
Figure S4. Quality of Life Overtime by Randomization Group	18
Figure S5. Six Minute Walk Distance Overtime	19
Figure S6. Gait Speed Test Overtime	20
Figure S7. Primary Endpoint by Country	21
Table S1. Screening and Randomization by Clinical Center	22
Table S2. Reasons Screened Patients Ineligible for Randomization	23
Table S3. Pre-specified Analysis of the Primary Endpoint by Randomization Group Stratified by Concomitant CABG.....	24
Table S4. Post-hoc Analysis of the Primary Endpoint by Randomization Group Stratified by Moderate or less TR at Baseline.....	25
Table S5. Readmissions through 2 Years	26
Table S6. Representativeness of Study Participants	27
Table S7. Race, Ethnicity and Sex by Country of Enrollment.....	28
References.....	29

CTSN Trial Investigators

The members of the Cardiothoracic Surgical Trials Network (CTSN) are as follows:

Network Chairs: Cleveland Clinic, Marc Gillinov, (Chair); Baylor Scott & White Health, Michael J. Mack, (Sr. Vice Chair); American Heart Association, Mariell Jessup, (Vice Chair); Mount Sinai Health System, Eric A. Rose, (Vice Chair); University of Toronto, Richard Weisel (Chair-emeritus); Brigham and Women's Hospital, Patrick T. O'Gara (Co-chair- emeritus);

Steering Committee: Network Chairs; University of Michigan: Gorav Ailawadi, Francis D. Pagani; University of Pennsylvania, Michael A. Acker; University of Southern California, Michael E. Bowdish; Dartmouth-Hitchcock Medical Center, Alexander Iribarne; Johns Hopkins University School of Medicine, James S. Gammie; Hôpital Laval, Pierre Voisine; Piedmont Heart Institute, Vinod H. Thourani; Duke University Medical Center, Peter K. Smith, Heart Center Leipzig, Michael Borger; National Heart Lung and Blood Institute, Marissa A. Miller; Icahn School of Medicine at Mount Sinai: Annetine C. Gelijns, Alan J. Moskowitz;

Clinical and Data Coordinating Center: *Institute for Transformative Clinical Trials at Icahn School of Medicine at Mount Sinai, New York, NY:* Annetine C. Gelijns, Alan J. Moskowitz, Emilia Bagiella, Donna M. Mancini, Anu Lala, Ellen Moquete, Karen O'Sullivan, Anh Phung, Jessica R. Overbey, Stephanie Pan, Michael K. Parides, Nancy M. Sledz, Evan Accardi, Lopa Gupta, Milerva Santos, Elise C. Barrow, Kayla Dellefratte, Megan Schaffler, Alishba Aslam, Milerva Santos, Melissa W. Chase, Samantha Raymond, Hernis De La Cruz, Mary E. Marks, Sarah Burris, Emily Kinzel; Sapna Kapoor, Joseph Nwokocha, Mary Kris Kelly, Neha Rupeja, Tammie Possemato, Syed Uddin, Madeleine Wood, Victoria Roth, Sophie Robichaud, Anna Czinn, Chari Ponder, Gabriela Astaiza-Bedoya, Julie Roldan, Andrea Ratner, Shaoye Li, Kinjal Shah, Deborah Williams, Poonam Pandit, Hetal Sheth; Seth D. Goldfarb, Virginia Chan, Edlira Dobrev, Vincent Dobrev, Rami Habas, Jacqueline Sham, Ron Levitan, Xia Ye, Patrick O'Gara;

German Clinical and Data Coordinating Center: *German Heart Center Berlin, Germany:* Sabine Hübler, Andreas Bader-Wölfle;

National Heart, Lung and Blood Institute: Marissa A. Miller, Wendy C. Taddei-Peters, Neal O. Jeffries, Kathleen Fenton, Denis Buxton, Nancy L. Geller, Catherine Burke, Tyrone Smith;

Canadian Institutes of Health Research: Brian H. Rowe;

Clinical Site Investigators

Baylor College of Medicine, Houston, TX: Todd Rosengart (PI), Ravi Ghanta, Lily Zhang, Carmen Moore, Martha Jones, Luis, Vizcaino Risquet, Carmen Moore, Cuneyt Koksoy, Carmen de la Peña, Coronado Erwin, Jessica Orsak, Luis De Leon Castro, Terry Fisher, Meredith Rodriguez, Lydia Sturgis;

Baylor, Scott and White Health, Dallas, TX: Robert L. Smith (PI), Michael J. Mack, Christine Brooks, Amy Zagurski, Timothy George, J. Michael DiMaio, Jr., Brittany Zingler, Haley Boswell, Penni Dolton, Ruth King, Amanda Fenlon, Keisha Eggins, Sarah Lam, Kelley Hutcheson, Katherine Harrington, William Brinkman, Paul Gayburn, William Ryan;

Cleveland Clinic Foundation, Cleveland, OH: Marc Gillinov (PI), Edward Soltesz, Milind Desai, Dermont Phelan, Ashley Murello, Jill Kandrak, Mary Alice Bowman, Kristina Antioga, Rhonda Blair, Thomas Callihan, Amanda Davies, Michelle Garcia, Valerie Pistillo, Brian Strippy, Kenyatta Harvey,

Shoi Smith, Per Wierup, Faisal Bakaeen, Douglas Johnston, Kenneth McCurry, Stephanie Mick, Jose Navia, Gosta Pettersson, Eric Roselli, Joseph Sabick, Nicholas Smedira, Lars Svensson, Zhen-Yu Tong;

Dartmouth-Hitchcock Medical Center, Lebanon, NH: Jock McCullough (PI), Alexander Iribarne (Network Site PI), Joseph DeSimone, Anthony DiScipio, Salvatore Costa, Deborah Stender, Prezley Duncan, Delaney Gray, Hannah Martens, Henry Stokes, Dorothy Baker, Gaylin Petty, Amanda St. Ivany, Jeanette Thow, Annika Gallandt;

Duke University Health System, Durham, NC: Peter K. Smith (PI), John Alexander, Mani Daneshmand, Zainad Samad; Donald Glower, Jeffrey Gaca, John Haney, Jacob Schroder, Babatunde Yerokun, Greg Tipton, Sarah Casalinova, Stacey Welsh, Sharia Warren, Dana Giagiacomio, Kathleen Lane, Sarah Lowe

Emory University, Atlanta, GA: Douglas Murphy (PI), Michael E. Halkos (Network Site PI), Robert Guyton, Bradley Leshnowar, Kim Baio, Mary McBride, Chari Ponder, Tamara Prince, Heather Sigler, Michele Fielding, Sonya Mathewson, Derrick Tyler, Jefferson Baer, Stephen Frohwein;

German Heart Center Berlin (Deutsches Herzzentrum Berlin): Volkmar Falk (PI), Stefan Jacobs, Jörg Kempfert, Christoph Knosalla, Petar Petrov, Doris Pickel, Famoush Alborzi, Inga Scheida, Regina Schlieder, Katharina Schönrrath, Svetlana Sonnabend;

German Heart Center Munich (Deutsches Herzzentrum München): Markus Krane (PI)(moved to Yale University), Rüdiger Lange, Oliver Deutsch, Keti Vitanova, Rebecca Koerfgen, Stephanie Simon, Annemarie Stroh, Maria Bauer, Franziska Kothmeier, Erna Stojanoska;

HDZ-NRW Bad Oeynhausen (Herz-und Diabeteszentrum NRW Bad Oeynhausen): Kavous Hakim-Meibodi (PI), Jan Gummert, Andrea Schönbrodt, Katja Schönefeld, Tanja Maier, Huguette Alice Minko Nnanga, Carola Schneider, Heike Windhagen;

Heart Center University of Freiburg (Universitäts-Herzzentrum Freiburg): Friedhelm Beyersdorf (PI), Wolfgang Zeh, Fabian Alexander Kari, Matthias Siepe, Emmanuel Zimmer, Martin Thoma, Wolfgang Bothe, Martin Czerny, Johannes Scheumann, Fatos Ballazhi, Clarence Pingpoh, Julia Morlock, Albi Fagu, Julia Schlosser, Tetyana Leinberger, Diab Nawras, Katja Seufert, Rafael Ajala Fuentes, Gabriele Lechner, Ulrike Heizmann, Priscilla Dautel, Veronika Blümel; Lilli Dombrowski, Daryna Skrypkinia;

Heart Center Leipzig (Herzzentrum, Leipzig): Bettina Pfannmüller (PI), Martin Misfeld, Piroze Davierwala, David Holzhey, Jörg Seeburger, Friedrich Mohr, Michael A. Borger, Robby Schurzmann, Kathrin Luderer, Ina Wagner, Yvonne Ruckert, Anne-Kathrin Funkat;

Hôpital du Sacré-Coeur de Montréal, QC, Canada: Hugues Jeanmart (PI), Pierre Page, Maude Page, Claude Sauve, Frederic Poulin, Thierry Charron, Angélica Ostiguy, Isabelle Fillion, Amelie La Haye, Carole Sirois, Christine Boileau;

Institut Universitaire de Cardiologie et de Pneumologie de Québec – Université Laval, QC, Canada: Pierre Voisine (PI), François Dagenais, Eric Charbonneau, Daniel Doyle, Eric Dumont, Frédéric Jacques, Dimitri Kalavrouziotis, Siamak Mohammadi, Jean Perron, Jonathan Beaudoin, Maxime Laflamme, Tarek Malas, Mathieu Bernier, Annie Bergeron, Manon Caouette, Nathalie Gagné, François Laforge, Patricia Landry, Hugo Tremblay;

Jena University Hospital, Department of Cardiothoracic Surgery, Friedrich Schiller University of Jena, Germany: Torsten Doenst (PI), Gloria Faerber, Sophio Tkebuchava, Sabine Krauspe;

London Health Sciences Centre, ON, Canada: Michael W. A. Chu (PI), Stephanie A. Fox, Stephen Mardell, Sarah Felbel, Carlee Stokes, Bob Kiaii (moved to Sacramento), Linrui Guo, David McCarty, Syed Mirsattari, F. Neil McKenzie, A. Dave Nagpal, Derek B. Debicki, Jennifer L. Mandzia, Sabe K. De, Andrew Thain, Nikolaos Tzemos;

Mayo Clinic, Rochester, MN: Juan A. Crestanello (PI), Simon Maltais, John Beranek, Jolene Erola, Brandon Dunagan, Cori Larson, Deborah Rolbiecki, Mary Timmons;

MedStar Heart and Vascular Institute, Washington, DC: Christian Shults (PI), Hellina Birru (Lead CRC);

Mission Hospital, Asheville, NC: Mark Groh (PI), Tracy Nanney, Lucy Rixey, Leslie McPeters, Christina Riggsbee, Mark Rorie;

Montefiore Medical Center - Albert Einstein College of Medicine, Bronx, NY: Robert Michler (PI), Daniel Goldstein, Joseph DeRose, Stephen Forest, Magdalena Mamczur-Madry, Agnieszka Siemienik;

Montreal Heart Institute, QC, Canada: Louis Perrault (PI), Denis Bouchard, Philippe Demers, Michel Pellerin, Steeve Grenier, Wafaa Haider, Raymond Cartier, Arsene Basmadjian, Christine Henri, Ismail El-Hamamsy, Patrick Garceau, Eileen O'Meara, Georges Desjardins;

Stanford University, Palo Alto, CA: Y. Joseph Woo (PI), Jack Boyd, Rachelle Villanueva, Rhodalene Benjamin-Addy, Nicholas Vesom, Tiffany Flores, Kokil Bakshi, Anson Lee, William Hiesinger, David Liang;

Toronto General Hospital, ON, Canada: Mitesh Badiwala (PI), Alice Hoefel Nunes, Shakira Christie, Nishit Fumakia, Anna Woo;

University Heart and Vascular Center Hamburg (Universitäres-Herzzentrum Hamburg): Lenard Conradi (PI), Hermann Reichensperner, Jonas Pausch, Till Demal;

University Medical Center Göttingen (Universitätsmedizin Göttingen): Bernd Danner (PI), Ingo Kutschka, Hassina Baraki, Jessika Jordan;

University of Alberta, Edmonton, AB, Canada: John C. Mullen (PI), Celine Balay, Kayla-Marie Smith, Yilina Liubaoerjijin, Alex Hripko, Asvina Bissonauth, Harald Becher, Steven Meyer, Sunita Sidhu, Michael Moon, Jeevan Nagendran;

University of Maryland, Baltimore, MD: James S. Gammie (PI)(moved to Johns Hopkins), Murtaza Dawood (PI), Freshta Akbari, Emily Fleischmann, Zahid Noor, Kimberly Ty, Samantha Dyal, John Treffalls, Manal Al-Suqi, Veronica Rodriguez, Susie Hong-Zohlman;

University of Michigan, Ann Arbor, MI: Steven Bolling (PI), Francis D. Pagani (Network Site PI), Matthew A. Romano, Nicole Bhave, Nicole Gervais, Cathie Bloem;

University of Ottawa Heart Institute, ON, Canada: Marc Ruel (PI), Vincent Chan, Luc Beauchesne, Suzanne Crowe;

University of Pennsylvania, Philadelphia, PA: Michael A. Acker (PI), Mary Lou Mayer, Bernadette Barilotti, Christine Gepty, Yuchi Han;

University of Southern California, Los Angeles, CA: Michael E. Bowdish (PI), Vaughn Starnes, Mark J. Cunningham, Craig J. Baker, Fernando Fleischman, Parveen Garg, Edward Lozano, Rafael Llerena, Valentina Rodina, Jose Escobar, Linda S. Sher;

University of Virginia Health System, Charlottesville, VA: Gorav Ailawadi (PI)(moved to University of Michigan), Irving L. Kron (PI), John Kern, J. Michael Cosner, Rachel Simon, Elizabeth Lucas, Sandra Burks, John Dent, Victor Soukoulis, China Green (moved to University of Michigan);

University of Wisconsin, Madison, WI: Nilto Carias De Oliveira (PI), Jason W. Smith (Network Site PI), Lindsay McIntosh, Lekha Nelavelli, Kaelin Grant, Bailey Howington, Beth Costa, Adam Krajewski, Peter Rahko, Kathy Beck, Amy Fiedler, Derek Gonzalez, Veronica Wang, Katalin Vinkler, Rudi Soltani, Leah Reeves, Satoru Osaki;

WakeMed Health and Hospitals, Raleigh, NC: Bryon J. Boulton (PI), Judson Williams (Network Site PI), Rhonda Norton;

Yale University, New Haven, CT: Arnar Geirsson (PI), Lissa Sugeng, Marianne McCarthy;

Protocol Review Committee: *University of Utah Health Sciences Center*, David A. Bull (Chair); *NHLBI/NIH*, Patrice Desvigne-Nickens, Executive Secretary; *NIH*, Marion Danis; Dennis O. Dixon; *University of Utah*, Richard Holubkov, *Boston University School of Medicine*, Alice Jacobs, *London Health Sciences Centre*, John M. Murkin; *University of North Carolina Medical Center*, John S. Ikonomidis;

Data and Safety Monitoring Board: Rhode Island Hospital, Frank Sellke (Chair); National Heart, Lung and Blood Institute, D'Andrea Egerson, Executive Secretary; Jacobs School of Medicine and Biomedical Sciences, University at Buffalo, John M. Canty, Jr.; Emory University School of Medicine, Neal Dickert; University of North Carolina Medical Center, John S. Ikonomidis; University of Pittsburgh, Sheryl F. Kelsey; University of Minnesota, James D. Neaton; Brigham and Women's Hospital, David O. Williams; Northwestern University, Clyde W. Yancy; University of California, San Francisco, J. Donald Easton; University of Minnesota, School of Public Health, James D. Neaton;

Medical Monitors: University of Utah, James Fang; University of Iowa, Wayne Richenbacher;

Event Adjudication Committee: University of Toronto, Vivek Rao (Chair); Duke University School of Medicine, Rachel A. Miller (Co-Chair), Joseph Ladowski; Massachusetts General Hospital, David D'Alessandro; Charité Campus Benjamin Franklin, Berlin, Andreas Hartmann; The Mount Sinai Hospital, Maria L. Padilla; Western Connecticut Health Network, Mark K. Warshofsky University of Arizona, Jennifer Cook; Rhode Island Hospital, Alpert Medical School of Brown University, Karen L. Furie;

Echo Core Lab: Massachusetts General Hospital: Judy Hung, Xin Zeng, Martin Robles Magna, Sudarat Satitthummanid, Hongning Song, Louise Rahill, Romain Capoulade.

Grading of Tricuspid Regurgitation Severity

The degree of tricuspid valve regurgitation was categorized according to American Society of Echocardiography guidelines (Table 8, Zoghbi et al., 2003) as:

1. None/Trace
2. Mild
3. Moderate
4. Severe

Methods for Measuring Tricuspid Annular Dilatation

The tricuspid annulus diameter was measured in a right ventricle focused view apical 4 chamber per American Society of Echocardiography guidelines (Lang RM et al., 2015). The tricuspid annulus was identified as the point where leaflets were attached and the distance from the lateral and septal points of the tricuspid was measured at end-diastole.

Specifications for the Tricuspid Valve Repair

General Surgical Considerations

Patients will be randomized to either (a) tricuspid valve (TV) repair using a legally marketed annuloplasty ring in addition to mitral valve surgery (MVS), or (b) MVS alone.

After induction of anesthesia, all patients will undergo a transesophageal echocardiogram (TEE). The TEE will be performed under loading conditions as close as possible to the patient's baseline. Intra-operative TEEs will be performed according to a standardized acquisition protocol developed by the Echo Core Lab. A laminated copy of this acquisition protocol will be provided to the site and affixed to the operating room echo machine.

All procedures will be performed using cardiopulmonary bypass support and cardioplegic arrest. The management of cardiopulmonary bypass and myocardial protection will be at the discretion of the surgeon, using standard techniques. MV repair or replacement will be performed using standard techniques.

Tricuspid Valve Repair

It is recognized that surgical techniques for TV surgery may need to be adjusted at the discretion of the surgeon based on intra-operative findings that may not be previously recognized in the preoperative evaluation. The common elements for tricuspid annuloplasty planned as part of this trial are listed below:

- All procedures will be performed with cardiopulmonary bypass and with bicaval cannulation
- Both trans-septal and bi-atriatomies are acceptable as the approach to the TV
- Performance of TV repair on the arrested or the beating heart will be at the discretion of the surgeon
- TV repair will be performed with a rigid, incomplete, nonplanar, undersized annuloplasty ring
- Nominal annuloplasty ring sizes 26, 28, or 30 mm will be used. It is anticipated that normal tricuspid annular dimensions will be restored in most cases with a size 26 or 28 mm annuloplasty ring. The rationale for an approved rigid, incomplete, nonplanar and undersized (26, 28 or 30 mm) annuloplasty ring was based upon principles learned in functional MR trials, where inadequate mitral annular downsizing without a remodeling ring annuloplasty was associated with more recurrent MR (Bolling, Bax). Whereas some observational studies suggested higher recurrent TR rates following TV repair (McCarthy, Fukuda, Navia), more recent studies demonstrated less recurrent TR when an undersized, remodeling nonplanar annuloplasty ring was used (Filsoufi, Min, Ghoreishi).
- Commercially available rigid incomplete nonplanar tricuspid annuloplasty rings include:
 - Edwards MC3 tricuspid annuloplasty ring (model 4900)
 - Medtronic Contour 3D tricuspid annuloplasty ring (model 690R)
 - Medtronic Tri-Ad tricuspid annuloplasty ring (model 900SFC)
 - ATS TriAd Tricuspid Annuloplasty Ring
 - Carpentier-Edwards Classic tricuspid annuloplasty ring (model 4500)¹
 - Carpentier-Edwards Physio Tricuspid ring (model 6200)
- Interrupted non-pledgeted mattress sutures will be placed from 9:00 o'clock to 6:00 o'clock (the mid-point of the septal leaflet) around the tricuspid annulus. In general, 10 to 12 sutures will be required to assure reliable seating of the tricuspid annuloplasty ring.

¹Needs to be manually bent into nonplanar shape similar to other commercially available annuloplasty rings

Imputation of Missing Primary Endpoint Data

Patients missing the primary endpoint had their 24 month treatment status (success/failure) imputed via multiple imputation assuming the data were missing at random. The main feature of this imputation approach is the creation of a set of clinically reasonable imputations for treatment failure for each patient with missing data. This was accomplished using a set of repeated imputations created by predictive models based on the majority of participants with complete data. These imputation models reflect uncertainty in the modeling process and inherent variability in patient outcomes, as reflected in the complete data.

The pre-specified imputation model was stratified by randomization assignment and included age, sex, randomization strata for moderate or less TR at baseline, degree of TR at 6 months, and degree of TR at 12 months. Since this model included a mixture of variables types (i.e. continuous, ordinal, and binary), a fully conditional specification method was used (Berglund, Heeringa, & SAS Institute., 2014). Thirty datasets were imputed and combined using Rubin's method of multiple imputation to estimate treatment effect (Rubin & Schenker, 1986).

Missing Secondary Endpoint Data at 2 Years

The Statistical Analytical Plan pre-specified a missing data plan for baseline, primary outcome and secondary outcome data (see section 6.2 of the SAP). Multiple imputation was pre-specified for the primary endpoint, but for secondary endpoints, in general (with the exception of cost analyses), we pre-specified that missing endpoints would not be imputed and instead would be based on all available data.

In post-hoc analyses of secondary endpoints at 2- years, we assessed the reasons for missingness of echocardiographic, quality of life, and functional status endpoints (tabled below). Overall, completion of the two-year echo was high. The rate of missingness for the degree of TR was only ~7%. Among patients with a missed echo, the reasons for missingness included either the patient missing the visit, or technical issues where the patient underwent the echo but the images were not readable by the core lab (saved in the wrong format, correct view not taken, etc.). These reasons for missingness imply the echo data are likely missing completely at random, or at worst, missing at random. Completion of quality of life and functional status assessments was also high. Among survivors, KCCQ, SF-12, Euro-QOL and diuretic use had ~95% completion rates (NYHA was ~93%). Although the rate of missingness is low, estimates of treatment effects based on all available data may still be biased if data are not missing completely at random. Although we can review reasons for missingness, the mechanism of missing data is not truly testable. Therefore, as a supplemental analysis, we have assumed that 2-year cross-sectional endpoints are not missing completely at random in survivors, and instead assumed that data are missing at random conditional on patients' age, sex, randomization strata (moderate or less TR as baseline), and measures of the outcome of interest at prior visits (baseline, 6 months, and 1 year). The mixed model approach pre-specified for Quality of life analyses already assumed data were missing at random and therefore these supplemental analyses only include cross-sectional 2-year echocardiographic and functional status endpoints.

Surviving patients' missing outcomes were imputed via multiple imputation. For each outcome, the imputation model was stratified by randomization assignment and included age, sex, randomization strata for moderate or less TR at baseline, and corresponding values (if collected) of the outcome at baseline, 6 months, and 1 year. A fully conditional specification method was used and thirty datasets were imputed per outcome and combined using Rubin's method of multiple imputation to estimate treatment effect (Rubin & Schenker, 1986). Results using all available data and results in the post-hoc analyses that incorporated multiple imputation were consistent and are described in the table below.

Completion of the 2-Year Echo (N=401):

Echo Status	Readability if Complete/ Reason if Not Completed	N	%
Echo Completed	All Outcomes Readable ^a	303	75.6
	Some Outcomes Not Readable ^b	56	14.0
	Images Not Received	2	0.5
	Total	361	90.0
Echo Not Completed	Refusal	6	1.5
	Missed Visit/Assessment ^c	8	2.0
	Withdrawal	9	2.2
	Loss to Follow-up	2	0.5
	Death	15	3.7
	Total	40	10.0

a - Outcomes considered are degree of TR, degree of MR, LVEF, RV function, and trans-tricuspid diastolic peak gradient.

b – The missing data pattern for the outcomes of interest within the 56 echos where some outcomes were not readable is table below

c – Reasons for missed echo included COVID restrictions (n=3), unable to reach patient (n=1), and missed echo – reason not specified (n=4)

Missing Data Pattern in 2-Year Echos where Some Outcomes Not Readable (N=56)

Degree of TR	Degree of MR	Trans TR Peak Gradient	RV Function	LVEF	N	%
Complete	Complete	Complete	Complete	Not Readable	8	14.3
Complete	Complete	Complete	Not Readable	Not Readable	1	1.8
Complete	Complete	Not Readable	Complete	Complete	36	64.3
Complete	Complete	Not Readable	Complete	Not Readable	7	12.5
Complete	Complete	Not Readable	Not Readable	Not Readable	1	1.8
Complete	Not Readable	Complete	Complete	Not Readable	1	1.8
Complete	Not Readable	Not Readable	Complete	Not Readable	1	1.8
Not Readable	Complete	Not Readable	Not Readable	Not Readable	1	1.8

Completion of Quality of Life and Functional Status Assessments at 2-Years (N=401)

	KCCQ		SF-12		EuroQOL		NYHA		Diuretic Use	
	N	%	N	%	N	%	N	%	N	%
Survey Complete	369	92.0	364	90.8	369	92.0	358	89.3	367	91.5
Survey Started but not Completed	0	0	5	1.2	0	0	0	0	0	0
Refusal	2	0.5	2	0.5	2	0.5	3	0.7	1	0.2
Missed Visit/Assessment	4	1.0	4	1.0	4	1.0	14	3.5	7	1.7
Withdrawal	9	2.2	9	2.2	9	2.2	9	2.2	9	2.2
Loss to Follow-up	2	0.5	2	0.5	2	0.5	2	0.5	2	0.5
Death	15	3.7	15	3.7	15	3.7	15	3.7	15	3.7

Cross-sectional 2-Year Echocardiographic and Functional Status Outcomes as Observed (using all available data) and in a Post-hoc Supplemental Analysis using Multiple Imputation in Survivors:

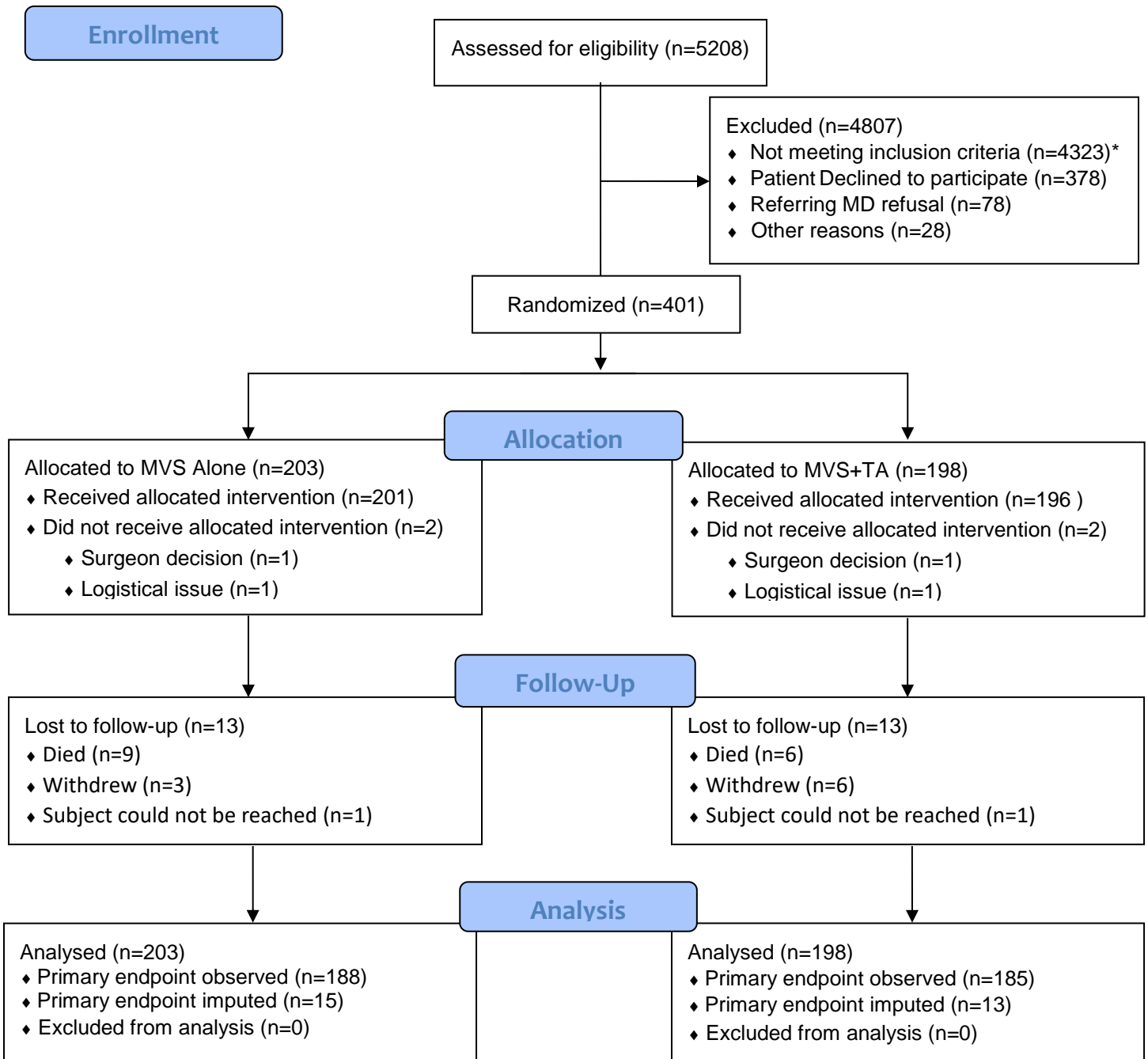
	Observed							Imputed		
	MVS Alone (N=194)			MVS+TA (N=192)			Treatment Effect ^a (95% CI)	MVS Alone (N=194)	MVS+TA (N=192)	Treatment Effect ^a (95% CI)
	No. Observed	No. Missing	Point Estimate ^a (SE)	No. Observed	No. Missing	Point Estimate ^a (SE)		Point Estimate ^a (SE)	Point Estimate ^a (SE)	
Echocardiographic Endpoints										
Moderate/Severe TR	179	15	25.1 (3.2)	179	13	3.4 (1.3)	0.13 (0.06, 0.30)	24.9 (3.2)	3.2 (1.3)	0.13 (0.06, 0.29)
Recurrent Moderate/Severe MR	178	16	10.1 (2.3)	179	13	8.4 (2.1)	0.83 (0.43, 1.59)	10.1 (2.2)	7.9 (2.0)	0.79 (0.41, 1.51)
Trans-tricuspid diastolic peak gradient	145	49	1.8 (0.1)	168	24	3.1 (0.1)	1.32 (0.94, 1.70)	1.9 (0.1)	3.2 (0.1)	1.24 (0.88, 1.60)
Normal RV Function	178	16	91.6 (2.1)	178	14	91.0 (2.1)	0.99 (0.93, 1.06)	91.1 (2.1)	91.0 (2.1)	1.00 (0.94, 1.06)
LVEF	166	28	59.5 (0.6)	173	19	59.6 (0.6)	0.06 (-1.73, 1.85)	59.4 (0.6)	59.5 (0.6)	0.13 (-1.61, 1.87)
Functional Status										
NYHA Class III/IV	179	15	2.8 (1.2)	179	13	1.1 (0.8)	0.40 (0.08, 2.03)	2.6 (1.1)	1.1 (0.8)	0.43 (0.08, 2.24)
Diuretic Use	185	9	29.7 (3.4)	182	10	22.5 (3.1)	0.76 (0.53, 1.07)	29.9 (3.3)	22.7 (3.0)	0.76 (0.54, 1.06)

- a- For categorical measures the point estimate is the percentage of patients and the treatment effect is the relative risk. For continuous measures the point estimate is the mean and the treatment effect is the difference of means. For both, MVS alone is the reference group.
- b- Abbreviation: SE = standard error, CI = confidence interval, TR= tricuspid regurgitation, MR= mitral regurgitation, RV = right ventricular, LVEF = left ventricular ejection fraction, NYHA = New York Heart Association

Sample Size Estimates

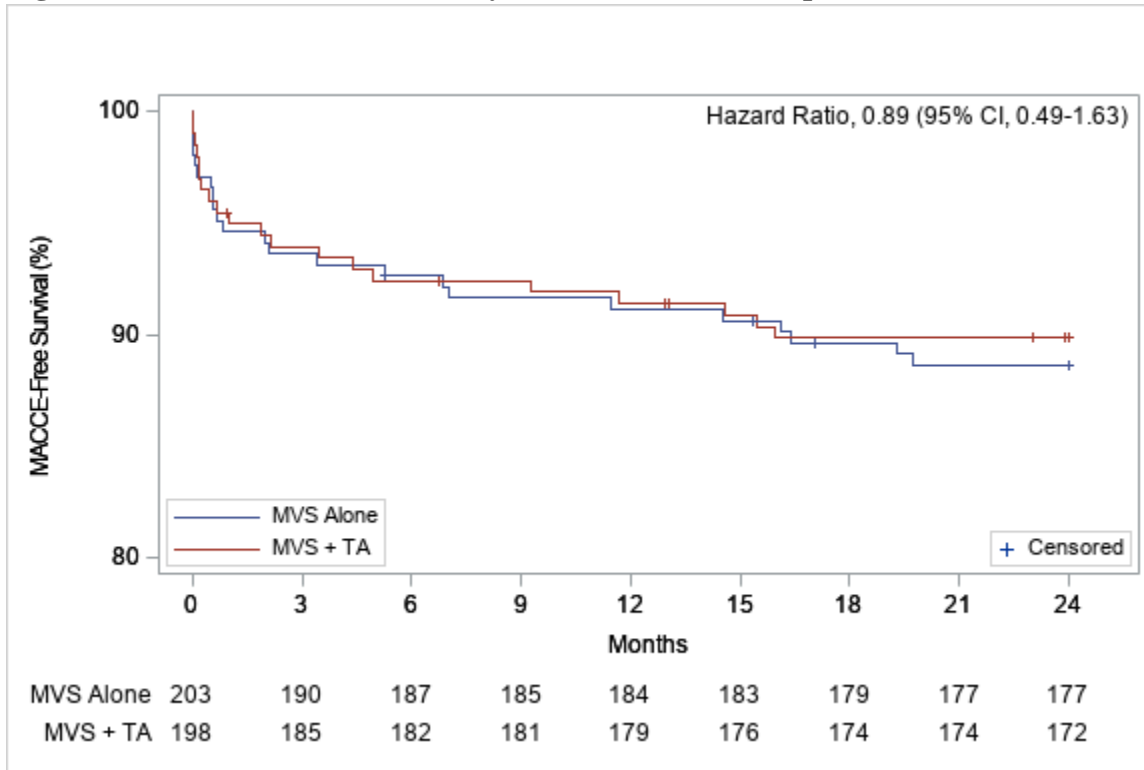
In our sample size calculations, we assumed based on the literature that 25% of patients treated with MVS only at 2 years would experience the primary composite endpoint (Nath et al., 2004; Kwak et al., 2008). We believe a meaningful effect worth detecting is at least a 50% relative reduction to 12% for patients undergoing TA in addition to MVS.

Figure S1. CONSORT Diagram



*The most common reasons for not meeting inclusion/exclusion criteria included not undergoing MVS for degenerative MR with a. Moderate TR as determined by transthoracic 2D echocardiography or b. tricuspid annular dimension ≥ 40 mm (index ≥ 21 mm/M² BSA) and none/trace or mild TR determined by echocardiography (N=3127) and concomitant cardiac surgery other than atrial fibrillation correction surgery (PVI, Maze, LAA closure), closure of PFO or ASD, or coronary artery bypass grafting (CABG) (N=472)

Figure S2. MACCE-free Survival by Randomization Group*



*MACCE (major cerebrovascular or cardiac event) was defined as the composite event of death, stroke, and serious heart failure events.

Figure S3. Index Length of Stay after Surgery by Region. For each region, the Hodges-Lehmann estimate of location shift between the randomization groups is shown in the top right corner.

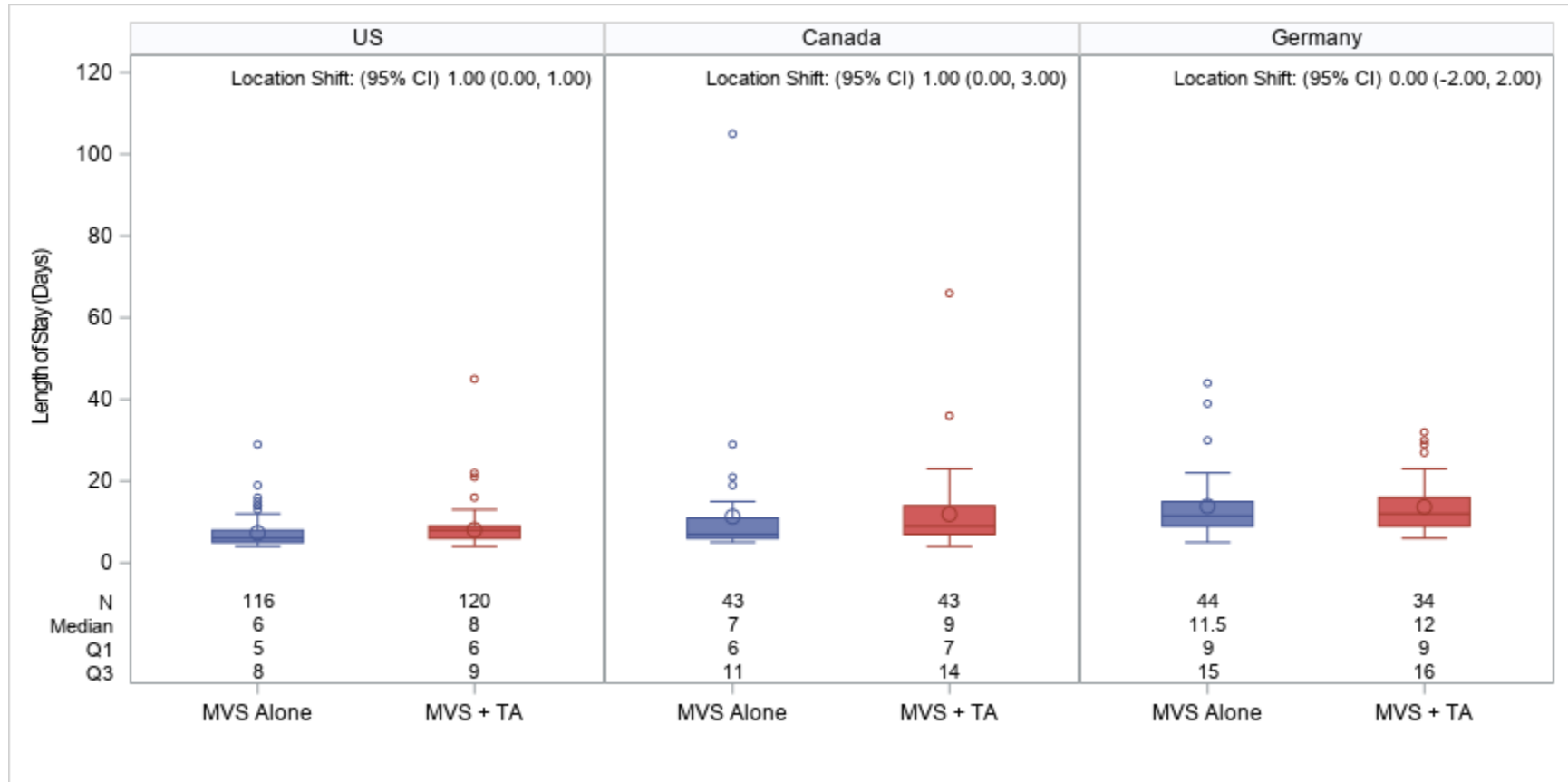
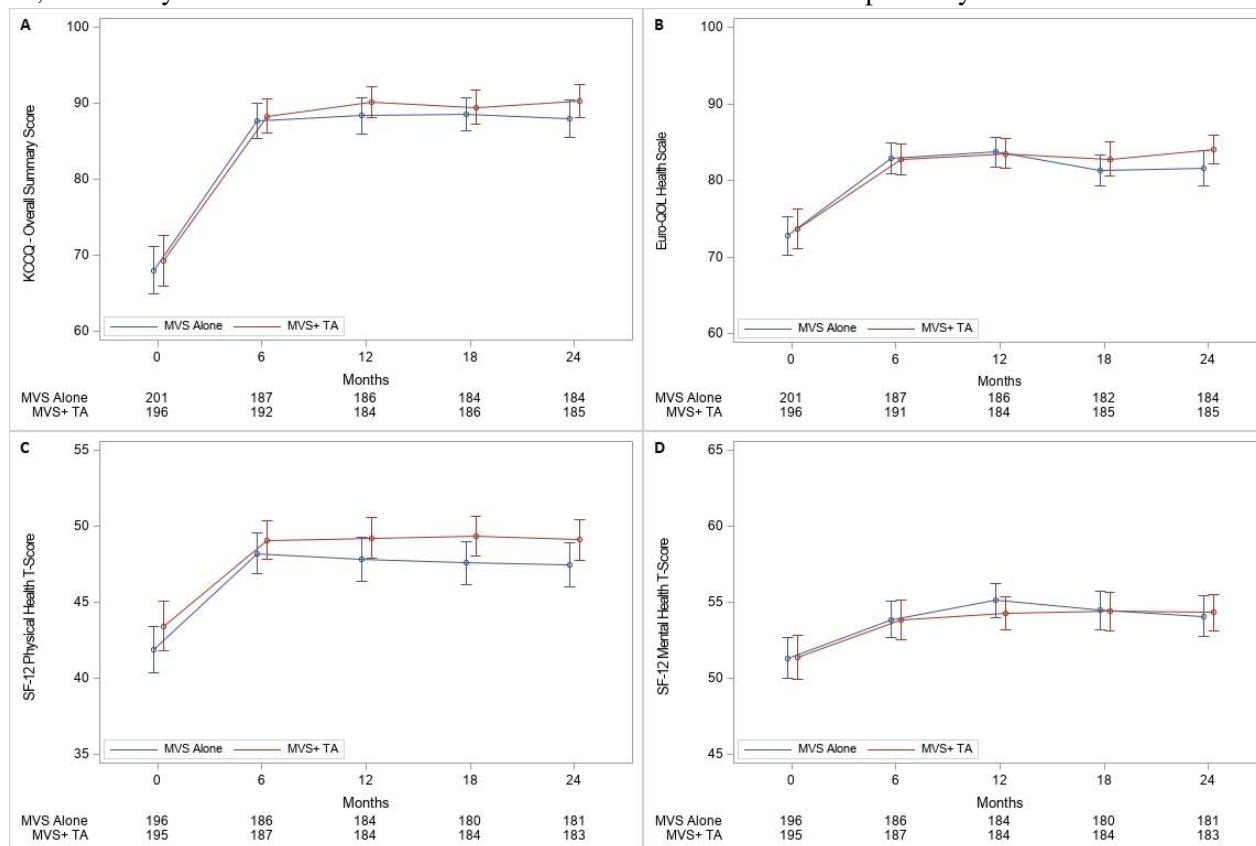


Figure S4. Quality of Life Overtime by Randomization Group*. Panel A shows the observed mean KCCQ Overall Summary Score in each group. The lines above and below the means denote the 95% confidence interval around the mean. Panels B, C and D depict the same for EuroQOL health state, SF-12 Physical Health T-Score and SF-12 Mental Health T-Score respectively.



*The trajectory of each QOL measure overtime was compared between randomization groups using linear mixed-effects models. No differences between the groups were detected for any measure. In addition, because mixed models assume that patient dropout is ignorable and the probability of dropping out at any time is related only to previously observed data (i.e. the data are missing at random), the statistical analysis plan pre-specified an alternative approach not subject to this assumption as a sensitivity analysis. We used pattern mixture models in which the data were stratified by missing data pattern (missing the two-year assessment versus not) following the approach outlined by Hedeker and Gibbons (1997). Across all four QOL measures, the interaction effect between pattern, time, and treatment effect was non-significant, indicating that the effect of treatment on quality of life overtime does not depend on the patient's completion status of their quality of life assessments.

Figure S5. Six Minute Walk Distance Overtime

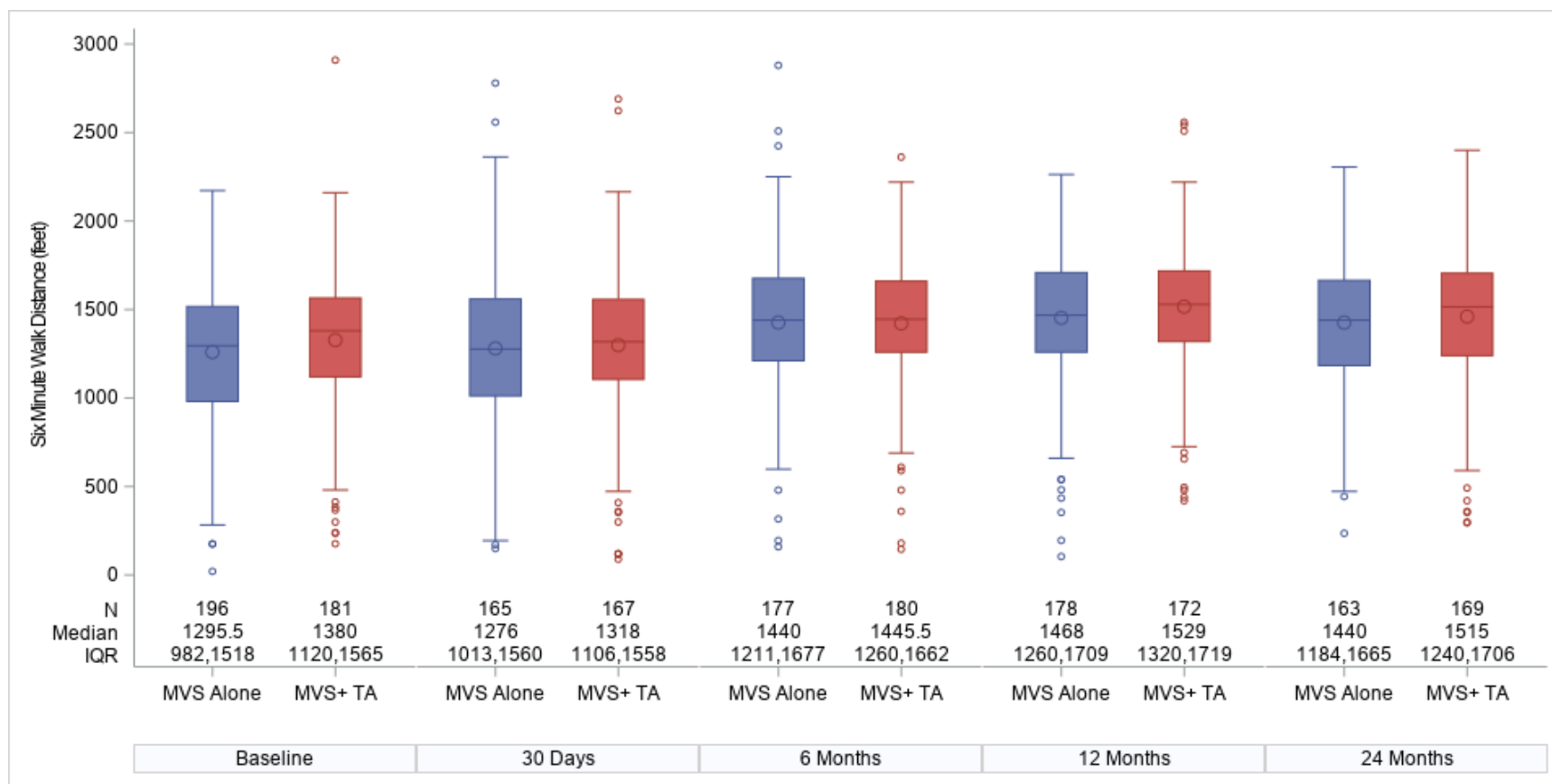


Figure S6. Gait Speed Test Overtime

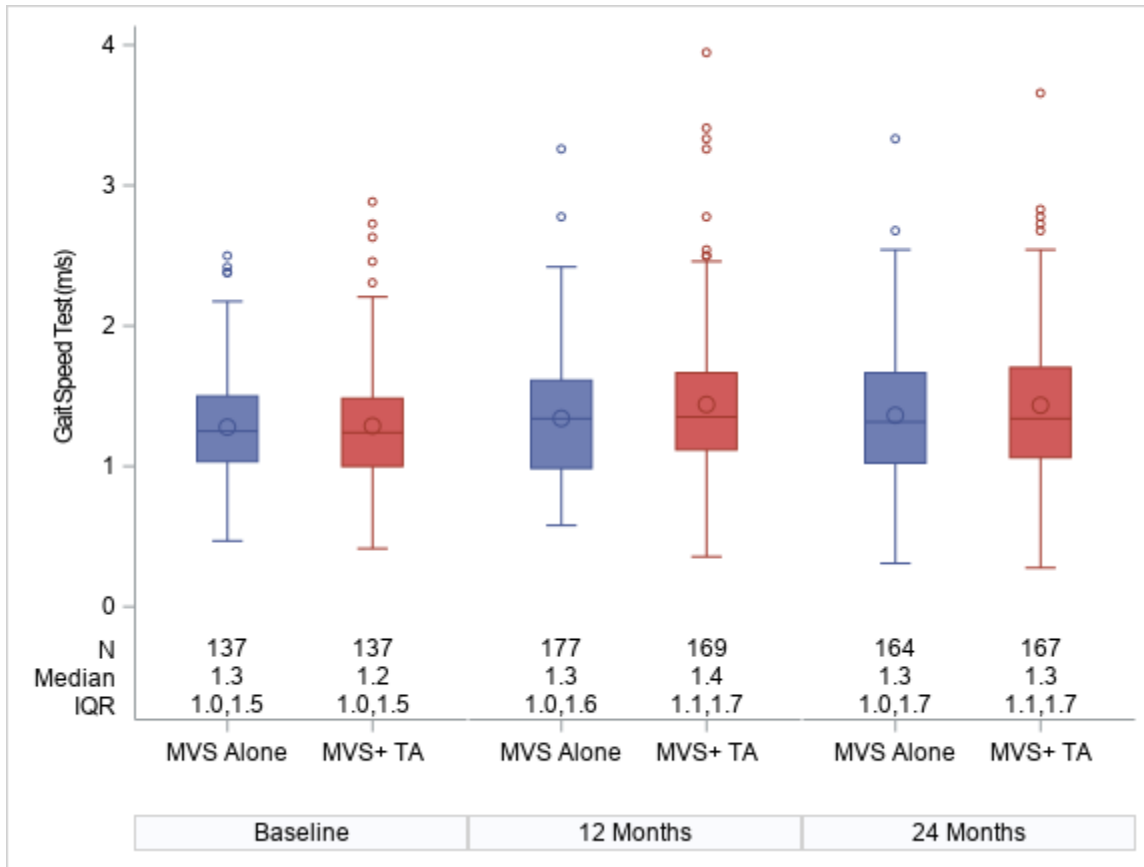
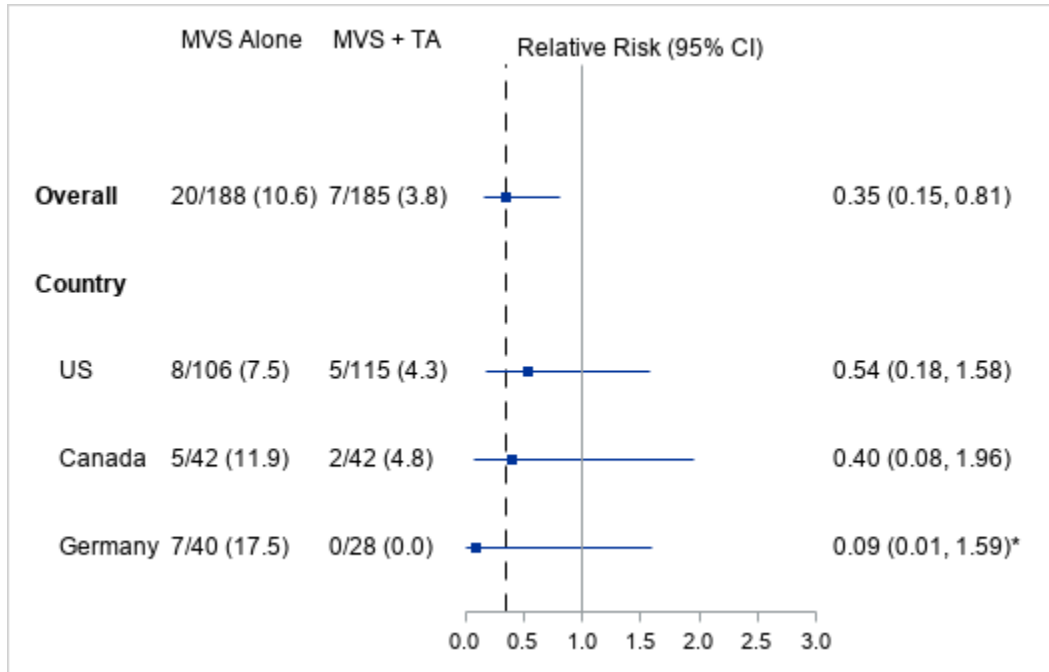


Figure S7. Primary Endpoint by Country The incidence of the primary outcome stratified by country of enrollment is shown below. The number with the primary endpoint over the number observed (percent) in each strata are given in the MVS Alone and MVS + TA columns.



*Since there were zero events in the MVS +TA group in Germany, the relative risk and confidence interval were calculated using a Wald modified approach in which 0.5 was added to each cell (SAS Institute Inc., 2017)

Table S1. Screening and Randomization by Clinical Center

	Screened (n)	Eligible (n)	Randomized (n)
London Health Sciences Centre	196	53	43
University of Maryland	126	72	39
Duke University	93	51	37
Baylor Research Institute	212	40	32
University of Virginia Health System	171	41	29
Hôpital Laval	652	61	27
German Heart Center Munich	27	25	24
Cleveland Clinic Foundation	163	63	23
University of Southern California	445	35	15
University Heart Center Hamburg	24	17	14
Dartmouth-Hitchcock Medical Center	201	35	11
Heart Center, University of Freiburg	150	27	11
Heart Center Leipzig	121	14	9
Yale New Haven	15	11	9
University of Alberta Hospital	168	8	8
Mission Hospital	77	8	7
University of Pennsylvania	154	14	7
German Heart Center Berlin	132	11	6
HDZ-NRW Bad Oeynhausen	260	6	6
Stanford University	33	14	6
University Medical Center Jena	20	7	6
University of Michigan	457	15	6
Emory University	94	6	5
Montreal Heart Institute	447	17	5
Baylor College of Medicine	14	7	3
Mayo Clinic	251	141	2
Toronto General Hospital	250	33	2
University Medical Center Göttingen	7	4	2
University of Wisconsin	69	29	2
Hôpital du Sacré-Cœur de Montréal	48	2	1
MedStar Heart & Vascular Institute	35	1	1
Montefiore - Einstein	45	1	1
University of Ottawa Heart Institute	2	1	1
WakeMed	6	1	1
Columbia University Medical Center	14	9	0
NIH Heart Center at Suburban Hospital	6	1	0
Ohio State University Medical Center	17	0	0
Stony Brook University Medical Center	5	4	0
University Medical Center Frankfurt	1	0	0
All	5208	885	401

Table S2. Reasons Screened Patients Ineligible for Randomization (N = 4,323)

	N	%
Not undergoing MVS for degenerative MR with a. Moderate TR as determined by transthoracic 2D echocardiography or b. tricuspid annular dimension \geq 40 mm (index \geq 21 mm/M ² BSA) and trace/mild TR determined by echocardiography	3127	72.3
Age <18	5	0.1
Unable to sign Informed Consent and Release of Medical Information Forms	25	0.6
Functional MR	303	7.0
Evidence of sub-optimal fluid management (e.g. lack of diuretics, weight in excess of dry weight) in the opinion of the cardiology investigator)	18	0.4
Structural/organic TV disease	81	1.9
Severe TV regurgitation as determined by preoperative transthoracic echocardiography (TTE)	219	5.1
Implanted pacemaker or defibrillator, where the leads cross the tricuspid valve from the right atrium into the right ventricle	204	4.7
Concomitant cardiac surgery other than atrial fibrillation correction surgery (PVI, Maze, LAA closure), closure of PFO or ASD, or coronary artery bypass grafting (CABG)	472	10.9
Cardiogenic shock at the time of randomization	3	0.1
STEMI requiring intervention within 7 days prior to randomization	2	0.0
Evidence of cirrhosis or hepatic synthetic failure	35	0.8
Severe, irreversible pulmonary hypertension in the judgment of the investigator	46	1.1
Pregnancy at the time of randomization	1	0.0
Therapy with an investigational intervention at the time of screening, or plan to enroll patient in additional investigational intervention study during participation in this trial	18	0.4
Any concurrent disease with life expectancy < 2 years	11	0.3
Unable or unwilling to provide informed consent	86	2.0
Unable or unwilling to comply with study follow up in the opinion of the investigator	136	3.1

Table S3. Pre-specified Analysis of the Primary Endpoint by Randomization Group Stratified by Concomitant CABG

No CABG	MVS Alone (N=181)	MVS + TA (N=177)	Relative Risk (95% CI)
Primary Endpoint (Observed)	16/166 (9.6)	5/165 (3.0)	0.31 (0.12, 0.82)
Died within 2 Years	8/177 (4.5)	4/170 (2.4)	0.50 (0.16, 1.63)
TV Operation within 2 Years	0/169 (0.0)	0/166 (0.0)	-
Progression of TR at 2 Years	8/158 (5.1)	1/161 (0.6)	0.12 (0.02, 0.96)
CABG	MVS Alone (N=22)	MVS + TA (N=21)	Relative Risk (95% CI)
Primary Endpoint (Observed)	4/22 (18.2)	2/20 (10.0)	0.58 (0.12, 2.81)
Died within 2 Years	1/22 (4.5)	2/20 (10.0)	-
TV Operation within 2 Years	0/21 (0.0)	0/18 (0.0)	-
Progression of TR at 2 Years	3/21 (14.3)	0/18 (0.0)	-

Table S4. Post-hoc Analysis of the Primary Endpoint by Randomization Group Stratified by Moderate or less TR at Baseline

<Moderate TR at Baseline^a	MVS Alone (N=126)	MVS + TA (N=124)	Relative Risk (95% CI)
Primary Endpoint (Observed)	7/115 (6.1)	4/117 (3.4)	0.56 (0.17, 1.87)
Died within 2 Years	6/123 (4.9)	3/119 (2.5)	0.52 (0.13, 2.02)
TV Operation within 2 Years	0/117 (0.0)	0/116 (0.0)	-
Progression of TR at 2 Years	1/109 (0.9)	1/114 (0.9)	0.96 (0.06, 15.10)
Moderate TR at Baseline^a	MVS Alone (N=76)	MVS + TA (N=73)	Relative Risk (95% CI)
Primary Endpoint (Observed)	13/72 (18.1)	3/67 (4.5)	0.25 (0.07, 0.83)
Died within 2 Years	3/75 (4.0)	3/70 (4.3)	1.07 (0.22, 5.13)
TV Operation within 2 Years	0/72 (0.0)	0/67 (0.0)	-
Progression of TR at 2 Years	10/69 (14.5)	0/64 (0.0)	-

a - Two patients are excluded from stratified analyses because the echo core lab was unable to read/confirm the degree of TR at baseline

Table S5. Readmissions through 2 Years

	MVS Alone (N=201; patient months=4608.0)		MVS+TA (N=194; patient months=4489.0)		Hazard Ratio (95% CI)^b	Relative Rate (95% CI)^c
	No. Patients (%)	No. Events (Rate per 24 Pt Months)^a	No. Patients (%)	No. Events (Rate per 24 Pt Months)^a		
All-cause Readmissions	66 (32.8)	124 (0.646)	69 (35.6)	104 (0.556)	1.09 (0.78, 1.53)	0.86 (0.58,1.27)
Cardiovascular Readmissions	38 (18.9)	70 (0.365)	42 (21.6)	59 (0.315)	1.16 (0.75, 1.79)	0.87 (0.52,1.43)
Heart Failure Readmissions	7 (3.5)	16 (0.083)	5 (2.6)	11 (0.059)	0.74 (0.23, 2.31)	0.71 (0.18,2.71)

- a- Patient months at risk for readmission were calculated using the patients days alive and free of hospitalization through 2 years post-randomization. Readmission was defined as any emergency department admission or hospitalization >24 hours. 2 patients in the MVS alone and 3 patients in the MVS+TA group died during the index hospitalization, 1 patient in the MVS+TA group withdrew prior to discharge from the index hospitalization – these patients are excluded from analyses of readmission by 2 years post-randomization
- b- In a post-hoc analysis, we compared time to first readmission treating death as a competing risk using the methods of Fine and Gray (1999)
- c- In a pre-specified analysis, we compared rates of readmission within two years of randomization using Poisson regression with robust variance estimation.

Table S6. Representativeness of Study Participants

Category	Example
Disease, problem, or condition under investigation	Patients with moderate tricuspid regurgitation or less than moderate tricuspid valve regurgitation with a dilated tricuspid valve annulus undergoing mitral valve surgery for severe degenerative mitral regurgitation
Special consideration related to	
Sex, gender, and age	A study based on the Society of Thoracic (STS) Surgeons Adult Cardiac Surgery Database, the most comprehensive prospectively collected registry of cardiac surgery procedures, demonstrated that of 165,405 patients undergoing mitral valve surgery between 2014 and 2018, mean (IQR) age was 66.0 (57-74) and women represented 45.2% of the cohort (Vermulapalli et al., 2019). In a 2018 STS study of degenerative MR and TR, 36.1% of patients undergoing surgery were women (Gammie et al, 2018). In the study by Vermulapalli and colleagues, race/ethnic distributions were reported as follows: White (77.2%), Black (9.9%), Hispanic (5.9%), Asian (3.0%), Native American (0.4%), and other (1.8%). As this registry represents >95% of all cardiac surgery in the United States, these demographic characteristics are the best non-biased representation of surgical mitral valve disease available. Data on race and ethnicity specific to tricuspid valve repair in the setting of mitral valve repair is lacking.
Geography	In Germany’s Heart Surgery Report 2020 (Beckmann et al., 2021), mitral valve procedures are not listed separately, but included as “Heart Valve Procedures”. Of the 15,302 procedures, 43% were in women. Race and ethnicity are not reported. The demographics of race and ethnicity of patients undergoing MVS varies among the US, Germany and Canada.
Other considerations	The prevalence of TR in the setting of moderate or greater MR is not reported (Singh et al., 1999).
Overall representativeness of this trial	The participants in the present study were of similar age to that reported in the registry (68.2 ± 9.7 and 66.6 ± 10.7 years in control vs. treatment group). Biologic sex was reported by participants; during screening patients were asked whether they were female or male. While women appear to represent approximately 40% of those undergoing mitral valve surgery in registry, our study enrolled less women than expected (25%). Patients in North America were asked about their race and ethnicity, according to NIH categories. Our study was also less representative regarding race and ethnicity, with 2.5% Black and 1.5% Hispanics, in contrast to the 9.9% Blacks and 5.9% Hispanics in the STS registry. The lack of diversity in this study is a limitation to the interpretation of this trial.

Table S7. Race, Ethnicity and Sex by Country of Enrollment

	US (N=236)	Canada (N=87)	Germany (N=78)	Overall (N=401)
Race - No. (%)				
American Indian or Alaska Native	1 (0.4)	0 (0)	0 (0)	1 (0.2)
Asian	2 (0.8)	6 (6.9)	0 (0)	8 (2.0)
Black or African American	10 (4.2)	0 (0)	0 (0)	10 (2.5)
Native Hawaiian or Other Pacific Islander	1 (0.4)	0 (0)	0 (0)	1 (0.2)
White	215 (91.1)	76 (87.4)	75 (96.2)	366 (91.3)
More than one Race	0 (0)	0 (0)	0 (0)	0 (0)
Unknown or Not Reported	7 (3.0)	5 (5.7)	3 (3.8)	15 (3.7)
Ethnicity - No. (%)				
Hispanic or Latino	6 (2.5)	0 (0)	0 (0)	6 (1.5)
Not Hispanic or Latino	225 (95.3)	82 (94.3)	72 (92.3)	379 (94.5)
Unknown or Not Reported	5 (2.1)	5 (5.7)	6 (7.7)	16 (4.0)
Sex - No. (%)				
Male	180 (76.3)	58 (66.7)	62 (79.5)	300 (74.8)
Female	56 (23.7)	29 (33.3)	16 (20.5)	101 (25.2)

References

- Bax JJ, Braun J, Somer ST, et al. Restrictive annuloplasty and coronary revascularization in ischemic mitral regurgitation results in reverse left ventricular remodeling. *Circulation*. 2004;110(11 Suppl 1):II103-8. doi: 10.1161/01.CIR.0000138196.06772.4e.
- Beckmann A, Meyer R, Lewandowski J, Markewitz A, Gummert J. German Heart Surgery Report 2020: The Annual Updated Registry of the German Society for Thoracic and Cardiovascular Surgery. *Thorac Cardiovasc Surg*. 2021; 69(04): 294-307. doi: 10.1055/s-0041-1730374.
- Berglund P, Heeringa S, & SAS Institute. (2014). *Multiple imputation of missing data using SAS*. Cary, N.C.: SAS Institute.
- Bolling SF, Deeb GM, Brunsting LA, Bach DS. Early outcome of mitral valve reconstruction in patients with end-stage cardiomyopathy. *J Thorac Cardiovasc Surg*. 1995;109(4):676-82; discussion 682-3. doi: 10.1016/S0022-5223(95)70348-9.
- Filsoufi F, Salzberg SP, Coutu M, Adams DH. A three-dimensional ring annuloplasty for the treatment of tricuspid regurgitation. *Ann Thorac Surg*. 2006;81(6):2273-7. doi: 10.1016/j.athoracsur.2005.12.044.
- Fine J, & Gray R. A proportional hazards model for the subdistribution of a competing risk. *J Am Stat Assoc*. 1999;94(446), 496–509. doi: 10.2307/2670170.
- Fukuda S, Gillinov AM, McCarthy PM, et al. Determinants of recurrent or residual functional tricuspid regurgitation after tricuspid annuloplasty. *Circulation*. 2006;114(1 Suppl):I582-7. doi: 10.1161/CIRCULATIONAHA.105.001305.
- Gammie JS, Chikwe J, Badhwar V, et al. Isolated Mitral Valve Surgery: The Society of Thoracic Surgeons Adult Cardiac Surgery Database Analysis. *Ann Thorac Surg*. 2018 Sep;106(3):716-727. doi: 10.1016/j.athoracsur.2018.03.086.
- Ghoreishi M, Brown JM, Stauffer CE, et al. Undersized tricuspid annuloplasty rings optimally treat functional tricuspid regurgitation. *Ann Thorac Surg* 2011;92(1):89-95; discussion 96. doi: 10.1016/j.athoracsur.2011.03.024.
- Hedeker D, Gibbons RD. Application of Random-Effects Pattern-Mixture Models for Missing Data in Longitudinal Studies. *Psychol Methods*. 1997;2(1): 64–78. doi:10.1037/1082-989X.2.1.64.
- Kwak JJ, Kim YJ, Kim MK, et al. Development of tricuspid regurgitation late after left-sided valve surgery: a single-center experience with long-term echocardiographic examinations. *Am Heart J*. 2008; 155(4):732-7. doi: 10.1016/j.ahj.2007.11.010..
- Lang RM, Badano LP, Mor-Avi V, et al. Recommendations for cardiac chamber quantification by echocardiography in adults: an update from the American Society of Echocardiography and the European Association of Cardiovascular Imaging *J Am Soc Echocardiogr*. 2015 Jan;28(1): 1-39.e14. doi: 10.1016/j.echo.2014.10.003.
- McCarthy PM, Bhudia SK, Rajeswaran J, et al. Tricuspid valve repair: durability and risk factors for failure. *J Thorac Cardiovasc Surg*. 2004;127(3):674-85. doi: 10.1016/j.jtcvs.2003.11.019.

Min SY, Song JM, Kim JH, et al. Geometric changes after tricuspid annuloplasty and predictors of residual tricuspid regurgitation: a real-time three-dimensional echocardiography study. *Eur Heart J*. 2010;31(23):2871-80. doi: 10.1093/eurheartj/ehq227.

Nath J, Foster E, Heidenreich PA. Impact of tricuspid regurgitation on long-term survival. *J Am Coll Cardiol*. 2004 Feb 4; 43(3):405-9. doi: 10.1016/j.jacc.2003.09.036.

Navia JL, Nowicki ER, Blackstone EH, et al. Surgical management of secondary tricuspid valve regurgitation: annulus, commissure, or leaflet procedure? *J Thorac Cardiovasc Surg*. 2010;139(6):1473-1482 e5. doi: 10.1016/j.jtcvs.2010.02.046.

Rubin, D. B., & Schenker, N. (1986). Multiple Imputation for Interval Estimation from Simple Random Samples with Ignorable Nonresponse. *J Am Stat Assoc*. 1986;81(394):366-374. Retrieved from <Go to ISI>://WOS:A1986C648000012

SAS Institute Inc. 2017. SAS/STAT® 14.3 User's Guide. Cary, NC: SAS Institute Inc.

Singh JP, Evans JC, Levy D, et al. Prevalence and clinical determinants of mitral, tricuspid, and aortic regurgitation (the Framingham Heart Study). *Am J Cardiol*. 1999 Mar 15;83(6):897-902. doi: 10.1016/s0002-9149(98)01064-9. Erratum in: *Am J Cardiol* 1999 Nov 1;84(9):1143.

Vermulapalli S, Grau-Sepulveda M, Habib R, Thourani V, Bavaria J, Badhwar V. Patient and Hospital Characteristics of Mitral Valve Surgery in the United States. *JAMA Cardiol*. 2019 Nov 1;4(11):1149-1155. doi: 10.1001/jamacardio.2019.3659.

Zoghbi WA, Enriquez-Sarano M, Foster E, et al. Recommendations for evaluation of the severity of native valvular regurgitation with two-dimensional and Doppler echocardiography. *J Am Soc Echocardiogr*. 2003 Jul;16(7):777-802. doi: 10.1016/S0894-7317(03)00335-3.